

Case Docket No. PALL.087C1 Date: November 19, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Schindler, et al.

Appl. No.

10/666,893

Filed

17-Sep-2003

For

SULFONATED ARYL

SULFONATE MATRICES AND METHODS OF

**PRODUCTION** 

Examiner

Unassigned

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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(Date)

Rose M. Thiessen, Reg. No. 40,202

## TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TERM OF

## Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with forty-eight (48) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Rose M. Thiessen Registration No. 40,202 Attorney of Record Customer No. 20,995 (619) 235-8550 Oocket No.: PALL.087C1 nlicant

INFORMATION DISCLOSURE STATEMENT

Schindler, et al.

App. No.

10/666,893

Filed

17-Sep-2003

For

SULFONATED ARYL SULFONATE

MATRICES AND METHODS OF

PRODUCTION

Examiner

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Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 48 references that are of record in U.S. patent application No. 09/563,143, filed May 2, 2000, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By: Rose M. Thiessen

Registration No. 40,202

Attorney.of Record

Customer No. 20,995

(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

| ATTY. | DOCKET | NO |
|-------|--------|----|
| PALL  | 087C1  |    |

APPLICATION NO. 10/666,893

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT Schindler, et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE September 17, 2003 GROUP Unknown

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|---------------------|----|-----------------|----------|-------------------|-------|----------|---------------------------------|
|                     | 1  | 3,709,841       | 01/09/73 | Quentin           |       |          |                                 |
|                     | 2  | 4,207,182       | 06/10/80 | Marze             |       |          |                                 |
|                     | 3  | 4,268,650       | 05/19/81 | Rose              |       |          |                                 |
|                     | 4  | 4,818,387       | 04/89    | lkeda et al.      |       |          |                                 |
|                     | 5  | 5,401,410       | 03/28/95 | Bell et al.       |       |          |                                 |
| _                   | 6  | 5,879,554       | 03/09/99 | Loffelmann et al. | i     |          |                                 |
|                     | 7  | 3,769,332       | 10/30/73 | Nagayama et al.   |       |          |                                 |
|                     | 8  | 3,855,122       | 12/17/74 | Bourganel         |       |          |                                 |
|                     | 9  | 3,869,429       | 03/04/75 | Blades            |       |          |                                 |
|                     | 10 | 4,026,977       | 05/31/77 | Bourganel         |       |          | V. 7                            |
|                     | 11 | 4,054,707       | 10/18/77 | Quentin           |       |          |                                 |
|                     | 12 | 4,207,182       | 06/10/80 | Marzo             |       |          | : :                             |
|                     | 13 | 4,268,650       | 05/19/81 | Rose              |       |          | · · · · ·                       |
|                     | 14 | 4,273,903       | 06/16/81 | Rose              |       |          |                                 |
|                     | 15 | 4,419,486       | 12/06/83 | Rose              |       |          |                                 |
|                     | 16 | 4,634,530       | 01/06/87 | Kuder et al.      |       |          |                                 |
|                     | 17 | 4,714,725       | 12/22/87 | Hendy et al.      |       |          |                                 |
|                     | 18 | 4,818,387       | 04/04/89 | lkeda et al.      |       |          |                                 |
|                     | 19 | 4,866,099       | 09/12/89 | Hendy             |       |          |                                 |
|                     | 20 | 4,920,193       | 04/24/90 | Hànn et al.       |       |          |                                 |
|                     | 21 | 4,922,485       | 05/01/90 | Quinquis et al.   |       |          |                                 |
|                     | 22 | 4,939,180       | 07/03/90 | Hendy             |       |          |                                 |
|                     | 23 | 4,971,695       | 11/20/90 | Kawakami et al.   |       |          |                                 |
|                     | 24 | 4,941,893       | 07/17/90 | Hsieh et al.      |       |          |                                 |
|                     | 25 | 4,992,485       | 02/12/91 | Koo et al.        |       |          | <del> </del>                    |
|                     | 26 | 5,008,352       | 04/16/91 | Hendy             |       |          |                                 |
|                     | 27 | 5,013,765       | 05/07/91 | Sluma et al.      |       |          |                                 |
|                     | 28 | 5,028,337       | 07/02/91 | Linder et al.     |       |          |                                 |

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DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>PALL.087C1    | APPLICATION NO.<br>10/666,893 |
|---------------|---|-----------------------------------|-------------------------------|
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                   |                               |
|               | Y APPLICANT   | APPLICANT<br>Schindler, et al.    |                               |
| . (USE SEVERA | SHEETS IF NECESSARY)                                    | FILING DATE<br>September 17, 2003 | GROUP<br>Unknown              |

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|---------------------|----|-----------------|----------|-------------------|-------|----------|---------------------------------|
|                     | 29 | 5,030,672       | 07/09/91 | Hann et al.       |       |          |                                 |
| ****                | 30 | 5,013,765       | 05/07/91 | Sluma et al.      |       |          |                                 |
|                     | 31 | 5,071,448       | 12/10/91 | Bikson et al.     |       |          |                                 |
|                     | 32 | 5,112,892       | 05/12/92 | Cardew et al.     |       | ,        |                                 |
|                     | 33 | 5,118,766       | 06/02/92 | Hendy et al.      |       |          |                                 |
|                     | 34 | 5,246,582       | 09/21/93 | Sluma et al.      |       |          |                                 |
|                     | 35 | 5,693,740       | 12/00/97 | Colquhoun et al.  |       |          |                                 |
|                     | 36 | 5,879,554       | 03/09/99 | Loffelmann et al. |       |          |                                 |
|                     | 37 | 5,997,741       | 12/07/99 | Shimoda et al.    |       |          |                                 |

|          |    |                 |          | FOREIGN PATENT DOCUMENTS |       |          |        |       |
|----------|----|-----------------|----------|--------------------------|-------|----------|--------|-------|
| EXAMINER |    | DOCUMENT NUMBER | DATE     | COUNTRY                  | CLASS | SUBCLASS | TRANSI | ATION |
| INITIAL  |    |                 |          |                          |       |          | YES 4  | NO    |
|          | 38 | DE 3814759 A1   | 11/09/89 | Germany                  |       |          | ,      |       |
|          | 39 | DE 3814760 A1   | 11/09/89 | Germany                  |       |          |        |       |
|          | 40 | EP 0 145 305 A2 | 06/85    | Europe                   |       |          |        |       |
|          | 41 | EP 0 165 007 B1 | 12/18/85 | Europe                   |       |          |        |       |
|          | 42 | EP 0 165 077 A2 | 12/18/85 | Europe                   |       |          |        |       |
|          | 43 | DE 3321860 A1   | 12/20/84 | Germany                  |       |          |        |       |

| EXAMINER<br>INITIAL |    | OTHER DOCUMENTS (INCELIDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ÉTC.)   |  |  |  |  |  |
|---------------------|----|---|--|--|--|--|--|
|                     | 44 | PCT International Search Report; PCT/US 01/40640  |  |  |  |  |  |
|                     |    | Abstract; Derwent Publications Ltd., London, GB; AN 1990-294967; XP002181229 & JP 02 208322 A ((KURK) KURITA WATER IND. LTD.), 17 August 1990 |  |  |  |  |  |
|                     | 46 | Maku (Membrane), 1992, Vo. 17, No. 2, Page 85-90, Fig. 7, Tbl. 2, Ref. 4;   |  |  |  |  |  |
|                     | 47 | Maku (Membrane), 1992, Vol. 17, No. 2, Page 78-84, Fig. 6, Tbl. 3, Ref. 3   |  |  |  |  |  |
|                     | 48 | Maku (Membrane), 1992, Vol. 17, No. 3, Page 179-192, Fig. 25, Tbl. 5, Ref. 9  |  |  |  |  |  |

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